

Borrower's Name: _____ Seller's Name: _____

Collateral Address: _____ City, State: _____

The purpose of this questionnaire is to provide information on which Lender will rely in deciding whether to extend credit. All questions must be answered. If unable to answer, please respond "unknown" or "not applicable." Where space is inadequate to answer, please attach additional pages as needed.

1. PROPOSED USE OF COLLATERAL ADDRESS

☐ Agricultural☐ Commercial☐ Retail☐ Residential☐ Mixed Use☐ Industrial

☐ Other (describe): _____

Are there any plans to renovate or demolish existing structures?

☐ Unknown☐ Yes☐ No

If yes, describe: _____

2. CURRENT/FORMER USES OF THE SITE

A. How long has applicant been associated with property? _____

☐ Years☐ Months

B. Description of current use(s) of the property. Include name(s) of current occupant(s), nature of business(es), and dates of occupancy:

Occupant	Nature of Business	Dates of Occupancy

C. Description of previous use(s) of the property. Include name(s) of former occupant(s), nature of business(es), and dates of occupancy, if known:

Occupant	Nature of Business	Dates of Occupancy

D. Date of construction of structure(s) on site: _____

E. Land use prior to construction and source of information:

Use of land: _____ Source: _____

☐ Unknown

F. Has any fill dirt been brought in to the site?

☐ Unknown☐ Yes☐ No

If yes, identify the source of the fill: _____

G. Are there any areas of disturbed soil or stressed vegetation?

☐ Yes☐ No

If yes, describe: _____

H. To your knowledge, has the collateral been used in the past by any business that utilized chlorinated solvents such as a dry cleaner, circuit board manufacturer, or metal plater?

☐ Yes☐ No

If yes, describe: _____

3. LIST THE NAMES AND USES OF ADJACENT PROPERTIES:

	Occupant	Nature of Business
North:		
South:		
East:		
West:		

Are you aware of any significant environmental issues in this neighborhood?

☐ Yes☐ No

If yes, describe: _____

4. ASBESTOS

Has a sampling, air survey, or visual survey ever been conducted for Asbestos-Containing Materials?

☐ Unknown ☐ Yes ☐ No

If yes, describe:
(or attach report)

5. LEAD-BASED PAINT

Has a lead-based paint survey been conducted?

☐ Unknown ☐ Yes ☐ No

If yes, describe:
(or attach report)

6. FUEL / CHEMICAL STORAGE TANKS, PIPELINES

A. To your knowledge, are there, or have there ever been, any above, or underground storage tanks and associated piping at the subject site?

☐ Yes ☐ No

If yes, please provide the following information for each tank:

1. Aboveground storage tanks (AST)

☐ Yes ☐ No

Number of ASTs: _____ Product Stored: _____

2. Underground storage tanks (UST)

☐ Yes ☐ No

Number of USTs: _____ Product Stored: _____

Date installed: _____ Date removed: _____

Size: _____ Permits (attached): _____

B. If Yes to 6A above, are any of the tanks or associated piping known to have leaked?

☐ Yes ☐ No

If yes, provide the following information and attach any applicable reports.

Date of leak: _____ Product leaked: _____

Amount leaked: _____

Environment affected by leak(s): ☐ Soil ☐ Groundwater ☐ Other (describe): _____

Name(s) of Agency(ies) notified: _____

C. Has any sampling, groundwater monitoring, or remediation related to leaking tanks occurred on-site?

☐ Unknown ☐ Yes ☐ No

If yes, describe:
(or attach report)

7. ENVIRONMENTAL PERMITS / RECORDS

A. Attach all environmental permits or records associated with the collateral address.

☐ Copy attached ☐ N/A

B. Attach any copies of inspections or violations pertaining to the collateral address.

☐ Copy attached ☐ N/A

C. Attach any hazardous waste/materials, storage or disposal permits, licenses, inspection records, and violation pertaining to operations of the collateral address.

☐ Copy attached ☐ N/A

8. WATER AND WASTEWATER

A. Source of water supply ☐ Municipal, County ☐ On-site well ☐ Other (describe): _____

B. List all sources of wastewater discharges to public sewer systems:

C. Have there been or are there currently any septic tanks, clarifiers, sumps, holding ponds, pits, or lagoons on-site?

☐ Unknown ☐ Yes ☐ No

If yes, describe:

D. Attach any copies of water discharge permits.

☐ Copy attached ☐ N/A

9. DRUMS, HAZARDOUS WASTE / MATERIALS GENERATION, STORAGE, DISPOSAL

A. Describe the types of liquid and solid hazardous waste/materials typically on hand at the collateral address.

☐ N/A

Type of hazardous material	Type of hazardous waste	Quantity
How stored	How is hazardous waste disposed	

B. Have there ever been spills or leaks with drums or storage containers? If yes, provide the following:

☐ Unknown ☐ Yes ☐ No

Substance leaked or spilled:

Date of leak / spill:

Environment affected: ☐ Municipal, County ☐ On-site well ☐ Other (describe):

Agency(ies) notified:

C. Have any hazardous substances been dumped, buried, or burned at the subject site?

☐ Unknown ☐ Yes ☐ No

If yes, describe:

10. AGRICULTURAL CHEMICALS

A. Have agricultural chemicals ever been mixed, rinsed, stored, or disposed of on the property?

☐ Unknown ☐ Yes ☐ No

If yes, describe:

B. Have any soil or groundwater analyses been performed to detect the presence of agricultural chemicals at the subject site?

☐ Unknown ☐ Yes ☐ No

If yes, attach reports.

11. RADON

A. Has a radon-level survey ever been conducted at the collateral address?

☐ Unknown ☐ Yes ☐ No

If yes, attach survey.

12. AGENCY INTERACTION, ENVIRONMENTAL STUDIES

A. Has any regulatory agency investigated or cited the subject site for violations or possible violations of environmental laws and regulations or commenced enforcement actions with respect to the collateral address?

☐ Unknown ☐ Yes ☐ No

If yes, attach any applicable documents.

B. Are you or have you been under an enforcement order or notice of violation from a regulatory agency for environmental issues at the collateral address?

☐ Yes ☐ No

If yes, describe:

C. Have any environmental investigations, assessments, or audits been conducted at the collateral address?

☐ Unknown ☐ Yes ☐ No

If yes, describe:

13. OTHERS

A. To your knowledge, is the subject collateral impaired in any way by hazardous materials not previously identified?

☐ Yes ☐ No

If yes, describe:

B. Do you have knowledge of environmental liens or governmental notification relating to past or current violations of environmental laws with respect to the collateral address or any facility located at the collateral address?

☐ Yes ☐ No

If yes, attach reports.

C. Are you now, or have you been, the subject of a lawsuit from another party concerning environmental issues at the collateral address?

☐ Yes ☐ No

If yes, describe:

D. Do your financial statements, such as 10K or 10Q, reference any environmental liabilities for the collateral address?

☐ Yes ☐ No

If yes, attach reports.

E. To the best of your knowledge, is / was the purchase / selling price of the subject collateral significantly less than the purchase / selling price of comparable properties due to any environmental issues associated with the collateral address?

☐ Yes ☐ No

If yes, attach reports.

I, _____, CERTIFY THAT THE FOREGOING IS TRUE, COMPLETE, AND CORRECT.
PRINT NAME

For a sales transaction:

SELLER SIGNATURE:

DATE

PRINT NAME:

OR

For a refinance transaction:

BORROWER SIGNATURE:

DATE

PRINT NAME:
